

Annual Investment Report, Lee College District, 2016

Lee College Annual Investment Report (Including Deposits)

Investment or Deposit Type

August 31, 2016 Market Value

Publicly Traded Equity and Similar Investments

Common Stock (U.S. and foreign stocks 6,884.00

held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)

Equity/Stock Mutual Funds

Balanced Mutual Funds (where target allocation is > 50% equities)

“Commonfund” Equity Commingled Funds

Other Equity Commingled Funds (if primarily invested in publicly traded equities)

Preferred Stock

Other – list by type

Total Publicly Traded Equity and Similar Investments 6,884.00

“Other” Investments – Other than Publicly Traded Equity and Debt Investments

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)

Other Real Asset Investments (e.g. investments in infrastructure funds)

Private Equity

Hedge Funds

“Commonfund” Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)

Annuities

Commodities

Collectibles

Other – list by type

Total "Other" Investments – Other than Publicly Traded Equity & Debt Investments 0.00

Publicly Traded Debt & Similar Investments >1 year maturity

- U.S. Government Securities ("Treasuries")
- U.S. Government Agency Securities ("Agencies")
- Mortgage Pass-Throughs – "Agency"
- Mortgage Pass-Throughs – "Private Label"
- Asset-Backed Securities (ABS) (other than mortgage-backed securities)
- Sovereign Debt (non-U.S.)
- Municipal Obligations
- Collateralized Mortgage Obligations (CMOs) – list below by category
- Interest Only Strips (IOs)
- Principal Only Strips (POs)
- Inverse Floaters
- Stated Final Maturity longer than 10 years
- Other CMOs – "Agency"
- Other CMOs – "Private Label"
- Corporate Obligations (U.S. or foreign companies) – list below by rating
- Highly Rated (AAA/AA or equivalent)
- Other Investment Grade (A/BBB or equivalent)
- High Yield Bonds (<BBB or equivalent)
- Not Rated (NR)
- Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)
- Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)
- "Commonfund" Fixed Income/Bond Commingled Funds
- Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)
- GICs (Guaranteed Investment Contracts)

Other – list by type

Total Publicly Traded Debt & Similar Investments >1 year 0.00

Short-Term Investments & Deposits

U.S. Government Securities
("Treasuries")

U.S. Government Agency Securities
("Agencies")

Bankers' Acceptances

Commercial Paper – A1/P1 (or
equivalent)

Other Commercial Paper – lower rated

Repurchase Agreements (Repos)

Money Market Mutual Funds (registered 3,463,650.00
with the SEC)

Short-Term Mutual Funds Other than
Money Market Mutual Funds (registered
with the SEC)

Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")

TexPool (and TexPool Prime) 7,568,555.00

Other Public Funds Investment Pools 639.00

Functioning as Money Market Mutual
Funds

Other Investment Pools – Short-Term
(not created to function as a money
market mutual fund)

Certificates of Deposit (CD) – 4,003,090.00
Nonnegotiable

Certificates of Deposit (CD) –
Negotiable

Bank Deposits 3,217,680.00

Cash Held at State Treasury

Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)

Other – list by type

Total Short-Term Investments & 18,253,614.00
Deposits

TOTAL INVESTMENTS and 18,260,498.00
DEPOSITS